# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

| | |
|---|---|
| *Attorney Docket No.* | 790063.94558 |
| *First Inventor* | Jeffrey A. Schumacher |
| *Title* | SEALED CHAIN LINK ASSEMBLY |
| *Express Mail Label No.* | EV 328560710 US |

22389 U.S. PTO
10/663878
09/16/03

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

**ADDRESS TO:** Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. [✔] Fee Transmittal Form (e.g., PTO/SB/17)
   *(Submit an original and a duplicate for fee processing)*

2. [ ] Applicant claims small entity status.
   See 37 CFR 1.27.

3. [✔] Specification    [*Total Pages* 15 ]
   *(preferred arrangement set forth below)*
   - Descriptive title of the invention
   - Cross Reference to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to sequence listing, a table,
     or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (*if filed*)
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure

4. [✔] Drawing(s) *(35 U.S.C. 113)* [ *Total Sheets* 2 ]

5. Oath or Declaration    [ *Total Pages* 2 ]
   a. [✔] Newly executed (original or copy)
   b. [ ] Copy from a prior application (37 CFR 1.63 (d))
      *(for continuation/divisional with Box 18 completed)*
      i. [ ] **DELETION OF INVENTOR(S)**
         Signed statement attached deleting inventor(s)
         named in the prior application, see 37 CFR
         1.63(d)(2) and 1.33(b).

6. [ ] Application Data Sheet. See 37 CFR 1.76

7. [ ] CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)

8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
   a. [ ] Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. [ ] CD-ROM or CD-R (2 copies); or
      ii. [ ] paper
   c. [ ] Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9. [✔] Assignment Papers (cover sheet & document(s))

10. [ ] 37 CFR 3.73(b) Statement    [ ] Power of
    *(when there is an assignee)*          Attorney

11. [ ] English Translation Document (*if applicable*)

12. [ ] Information Disclosure    [ ] Copies of IDS
    Statement (IDS)/PTO-1449        Citations

13. [ ] Preliminary Amendment

14. [✔] Return Receipt Postcard (MPEP 503)
    *(Should be specifically itemized)*

15. [ ] Certified Copy of Priority Document(s)
    *(if foreign priority is claimed)*

16. [ ] Nonpublication Request under 35 U.S.C. 122
    (b)(2)(B)(i). Applicant must attach form PTO/SB/35
    or its equivalent.

17. [ ] Other: ................................
    ................................

18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:*

[ ] Continuation    [ ] Divisional    [ ] Continuation-in-part (CIP)    of prior application No.:_____/_____

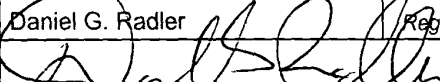*Prior application information:*    Examiner _____    Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation **can only** be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

[✔] Customer Number  26710    or [ ] Correspondence address below

| | |
|---|---|
| *Name* | Daniel G. Radler |
| *Address* | Quarles & Brady LLP |
| | 411 East Wisconsin Avenue #2040 |

| *City* | Milwaukee | *State* | WI | *Zip Code* | 53202 |
|---|---|---|---|---|---|
| *Country* | USA | *Telephone* | 414-277-5749 | *Fax* | 414-978-8749 |

| *Name (Print/Type)* | Daniel G. Radler | *Registration No. (Attorney/Agent)* | 43,028 |
|---|---|---|---|
| *Signature* | | *Date* | Sept. 16, 2003 |

Doc No 5469117.1

Express Mail Label No. EV328560710 US

# FEE TRANSMITTAL
## for FY 2003

*Effective 01/01/2003. Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

| **TOTAL AMOUNT OF PAYMENT** | ($) 790.00 |
| --- | --- |

### Complete if Known

| | |
| --- | --- |
| Application Number | |
| Filing Date | Herewith |
| First Named Inventor | Jeffrey A. Schumacher |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | 790063.94558 |

## METHOD OF PAYMENT (check all that apply)

☐ Check  ☐ Credit card  ☐ Money Order  ☐ Other  ☐ None

☑ Deposit Account:

| Deposit Account Number | 17-0055 |
| --- | --- |
| Deposit Account Name | Quarles & Brady LLP |

The Commissioner is authorized to: (check all that apply)

☑ Charge fee(s) indicated below    ☑ Credit any overpayments

☑ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
| --- | --- | --- | --- | --- | --- |
| 1001 | 750 | 2001 | 375 | Utility filing fee | 750 |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

**SUBTOTAL (1)** ($) 750.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | | Fee from below | Fee Paid |
| --- | --- | --- | --- | --- |
| Total Claims | 20 | -20** = 0 | X 18 | = 0.00 |
| Independent Claims | 3 | -3*** = 0 | X | = 0.00 |
| Multiple Dependent | | | | = |

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description |
| --- | --- | --- | --- | --- |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** ($) 0.00

*or number previously paid, if greater; For Reissues, see above*

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
| --- | --- | --- | --- | --- | --- |
| 1051 | 130 | 2050 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 | 235 | Design issue fee | |
| 1503 | 630 | 2503 | 315 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** ($) 40.00

## SUBMITTED BY

Complete (if applicable)

| Name (Print/Type) | Daniel G. Radler | Registration No. (Attorney/Agent) | 43,028 | Telephone | 414-277-5749 |
| --- | --- | --- | --- | --- | --- |
| Signature | | | | Date | September 16, 2003 |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Doc. No. 5469127.1